

# Systematic Investment Plan (SIP) Registration cum mandate form for NACH

First time investors subscribing to the Scheme through SIP-NACH to complete this form compulsorily along with Application Form. (Please read terms and conditions overleaf)

Form No : N

## Key Partner / Agent Information

Distributor / Broker ARN ARN -	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code	Employee Unique Identification No. (EUIIN) (Of Individual ARN holder or Of employee / Relationship Manager / Sales Person of the Distributor)	For Office Use Only
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For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no.1(f)).

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

☒ New SIP ☐ Micro SIP ☐ Change in ECS Bank Account (Please provide a cancelled cheque)

The Trustees,  
Religare Invesco Mutual Fund

I/We have read and understood the contents of the Statement of Additional Information / Scheme Information Document of the respective Scheme and the terms and conditions of SIP enrollment and ECS Debit Clearing.

## 1. Investment and SIP Details

(Investors applying under the direct plan must mention "Direct" against Scheme name.)

### First / Sole Investor

Name	Mr. / Ms. / M/s.			
Application No. (New Investor)		Folio No.(Existing Unitholder)		
PAN / KRNI		Enclosed <input checked="" type="checkbox"/> <input type="checkbox"/> KYC Proof <sup>3</sup>		
Existing UMRN	(If UMRN is registered in the folio)			
Scheme	Religare Invesco	Scheme Name	Plan	Option
Each SIP Amount (Rs.)		Frequency	<input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly (Jan, April, July, Oct)	
SIP Date	<input type="checkbox"/> 3 <sup>rd</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 15 <sup>th</sup> (Default) <input type="checkbox"/> 20 <sup>th</sup> <input type="checkbox"/> 25 <sup>th</sup>			
SIP Period	Start From	DD MM YYYY	End On	DD MM YYYY <input type="checkbox"/> Till Further Notice

## 2. Demat Account Details (Optional)

Please ☒ ☐ NSDL ☐ CDSL

DP ID #	Beneficiary Account No.	DP Name
I N		
(Applicable only to existing investors for fresh SIP enrolment. Please see instruction No. 12)		

## 3. First SIP Transaction

Cheque No.		Cheque Date		Amount (Rs.)	
Bank		Bank City			

**Declaration :** I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment and ECS (Debit Clearing) / Direct Debit/ Standing Instruction and agree to abide by the same. I/We hereby apply to the Trustee of Religare Invesco Mutual Fund for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. This is to inform I/we have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in Religare Invesco Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sole / First Applicant / Guardian / POA	Second Applicant / POA	Third Applicant / POA

## 4. Authorisation of the Bank Account Holder (to be filled and signed by the Investor) (For ECS)

Bank Name	Bank A/c No.	9 Digit MICR Code
This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in Religare Invesco Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS (NACH) Mandate Form to get it verified & executed.		
First Account Holder Signature (As in Bank Records)	Second Account Holder Signature (As in Bank Records)	Third Account Holder Signature (As in Bank Records)

<sup>1</sup> PAN/KRN (Refer Instruction no. 3), <sup>2</sup> Not applicable in Growth option, <sup>3</sup> KYC (Refer Instruction no. 14)

UMRN		Date	DD MM YYYY
Sponsor Bank Code	C I T I O O O P I G W	Utility Code	C I T I O O O O 2 0 0 0 0 0 0 0 3 7
I/We hereby authorize	Religare Invesco Mutual Fund	to debit (Please <input checked="" type="checkbox"/> <input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Others	
Bank Account Number			
Name of customers bank	IFSC	Or MICR	
In Words			
Frequency	<input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented		
Debit Type	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount		
Folio No.		Phone	
PAN		E-mail	
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the banks.			
From	DD MM YYYY	Signature of Primary Account Holder	Signature of Account Holder
To	DD MM YYYY		
Or	<input type="checkbox"/> Until Cancelled	1 Name as in bank records	2 Name as in bank records
		3 Name as in bank records	

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

## Terms & Conditions for SIP through NACH

- SIP is available in Religare Invesco Dynamic Equity Fund, Religare Invesco Mid Cap Fund, Religare Invesco Contra Fund, Religare Invesco Growth Fund, Religare Invesco Arbitrage Fund, Religare Invesco Banking Fund, Religare Invesco Tax Plan<sup>1</sup>, Religare Invesco Business Leaders Fund, Religare Invesco PSU Equity Fund, Religare Invesco Infrastructure Fund, Religare Invesco Mid N Small Cap Fund, Religare Invesco Pan European Equity Fund and Religare Invesco Global Equity Income Fund.

The list is subject to change from time to time. Please contact nearest Investor Service Centre (ISC) of Religare Invesco Mutual Fund for updated list.

- Features of SIP:

<b>Frequency</b>	Monthly/Quarterly (Jan, April, July, October)	
<b>SIP dates offered</b>	3rd or 10th or 15th or 20th or 25th of the month / quarter.	
<b>Default Frequency/ Date</b>	<ul style="list-style-type: none"> <li>Frequency: Monthly</li> <li>Date: 15th of the month</li> <li>If end date is not specified, the fund will continue SIP till it receives termination notice from the investor or all the post dated cheques are utilized. (See Note 10 below)</li> </ul>	
<b>Minimum amount per installment</b>	<b>Religare Invesco Tax Plan<sup>1</sup></b> ('An equity linked savings scheme with a lock in period of 3 years. Units cannot be assigned/transferred/pledged/redeemed/switched-out until the completion of 3 years from the date of allotment.)	<b>Religare Invesco Dynamic Equity Fund, Religare Invesco Mid Cap Fund, Religare Invesco Contra Fund, Religare Invesco Growth Fund, Religare Invesco Arbitrage Fund, Religare Invesco Banking Fund, Religare Invesco Business Leaders Fund, Religare Invesco PSU Equity Fund, Religare Invesco Infrastructure Fund, Religare Invesco Mid N Small Cap Fund, Religare Invesco Pan European Equity Fund and Religare Invesco Global Equity Income Fund</b>
	Rs. 500/- per month and in multiples of Rs.500/- thereafter for monthly and quarterly frequency	Rs. 500/- per month and in multiples of Re. 1/- thereafter for monthly frequency.
		Rs. 1,500/- per quarter and in multiples of Re. 1/- thereafter for quarterly frequency.
<b>Minimum number of installments</b>	<ul style="list-style-type: none"> <li>12: Where each SIP installment is Rs.500/- or more per month but less than Rs.1,000/- per month</li> <li>6: Where each SIP installment is Rs.1,000/- or more per month</li> <li>4: for quarterly frequency (including first installment)</li> </ul>	
<b>Installments</b>	All installments should be of same amount. No upper limit for single cheque or aggregate. The first cheque and subsequent cheques should not fall in the same month/quarter. First cheque should be of current date (no post-dated cheque will be accepted). In case of SIP through post-dated cheques, all post-dated cheques should be of same date and same amount.	
<b>Load Structure</b>	For load structure details, please refer to respective Scheme Features. Load Structure prevailing at the time of submission of SIP application form (whether for fresh enrollment or extension) will be applicable for all the SIP installments specified in such application. For more details, please refer page no. 14.	

- Please draw cheque in the name of the Scheme or its abbreviation and cross "A/c Payee" e.g. "Religare Invesco Dynamic Equity Fund" or "RIDEF". Also write SIP enrollment Form no. or folio number on the reverse of cheque accompanying SIP enrollment form.
- In case SIP date specified is a non-business day or falls during a book closure period, the transaction will be effected on the next business day.
- The first installment will be processed at Applicable NAV based on time stamping. In case of SIP for an amount of Rs. 2 lakh and above, the Applicable NAV of the Scheme will be based on funds available for utilizations, as stated in KIMs/SIDs. The second installment will be processed latest for the available SIP date (currently 3rd, 10th, 15th, 20th or 25th of each month/ quarter) indicated by the investor, but only after the expiry of 30 (thirty) Calendar Days from the date of first installment for ECS/Auto Debit and after 21 days for NACH.
- Unit holder has a right to discontinue the SIP facility at any time by sending written request to any Official Points of Acceptance, at least 10 Business Days prior to the next NACH debit. On receipt of such request, the SIP enrollment will be terminated and balance postdated cheque(s), if any, will be returned to the Unit holder.
- In case any cheque submitted by the investor for SIP installment or any payment instruction for SIP installment is dishonored by the Bankers for the reason of account of investor is closed, the AMC would discontinue the SIP immediately and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-.
- The AMC reserves the right to discontinue the SIP enrolment in case cheque / payment instruction submitted by Unit holder is not honored by Banker on 2 (two) consecutive occasions for either insufficiency of funds or as a result of a stop payment instruction issued by the Unit holder and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-.
- The facility will be automatically terminated upon receipt of intimation of death of the Unit holder.
- SIP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
- Existing investor opting for demat facility should fill in the common application form also.
- The investors can also subscribe Units through SIP in Demat (electronic) mode for the Scheme. However the Units will be allotted based on applicable NAV of the Scheme and will be credited to investor's Demat (Beneficiary) Account on weekly basis on realization of funds, e.g. Units will be credited to investor's Demat (Beneficiary) account every Monday (or next business day, if Monday is a non-business day) for realization status received in last week from Monday to Friday.
- MICR code starting and / or ending with '000' are not valid for ECS.

## Terms & Conditions

- This facility is available presently only for Systematic Investment Plan.
- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website [www.npci.org.in](http://www.npci.org.in)
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/update at any time in future at the sole discretion of Religare Invesco Asset Management Company Private Limited, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI ([www.npci.org.in](http://www.npci.org.in)).
- New/Existing investors who wish to enroll for SIP through NACH should fill the SIP Application Form and the Registration cum Mandate Form for NACH.
- New Investors should mandatorily give a cheque for the first transaction.
  - First SIP Cheque should be dated current day. All subsequent Installments through NACH to be either 3<sup>rd</sup> or 10<sup>th</sup> or 15<sup>th</sup> or 20<sup>th</sup> or 25<sup>th</sup> of the month.
  - The Registration cum Mandate Form for NACH should be submitted at least 21 Calendar days prior to next SIP cycle date through NACH.
  - Unique Mandate Registration Number (UMRN) is auto generated by NPCI during the mandate creation for the first time. Investors, who do not have the UMRN, please leave it blank. UMRN would be linked to the folio and maximum length is 20 characters comprising of Alpha Numeric Character allotted by NPCI.
  - Investors who already have UMRN registered under the folio can fill up the SIP Registration cum Mandate Form for NACH and should be submitted at least 10 Calendar days before the date of the first debit through NACH.
- Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered.
- Investors need to mandatorily fill the SIP Registration Cum Mandate form for NACH for any amendment and cancellation quoting their UMRN by giving 21 calendar days prior notice to any of the investor service centre.
- Investor can choose to discontinue the SIP facility under folio without cancelling the UMRN by giving 10 Business days prior notice to any of the Investor Service Centre. Investor can choose to register any future SIP by simply filling up the Registration cum mandate form for NACH quoting their UMRN.
- IFSC/MICR of customer bank. (maximum length-11 Alpha Numeric Characters)
- Amount payable for service or maximum amount per transaction that can be processed in words.
- In case of existing investor, if application is received without existing UMRN then the last UMRN registered in the folio would be considered.